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# Description-meet compatible multiway dissimilarities

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## Abstract

Multiway dissimilarities are a natural generalization of standard pairwise ones, that allow global comparison of more than two entities. Assuming the entity descriptions belong to a complete meet-semilattice, we consider so-called description-meet compatible multiway dissimilarities on the entity set; that is, multiway dissimilarities agreeing with entity descriptions in the following sense: the lower the greatest lower bound of the descriptions of entities in a given subset, the more dissimilar the entities in this subset. On the one hand, we show that when the entity description set is of breadth  $k$ , strictly description-meet compatible  $k$ -way dissimilarities are quasi-ultrametric. By duality, when entity descriptions belong to a complete join-semilattice, a similar result holds for so-called strictly description-join compatible multiway dissimilarities. Moreover, we study relationships between multiway dissimilarities in general, and provide examples of description-meet compatible ones.

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## 1. Introduction

Measures of comparison play an important role in many domains including pattern recognition, case based reasoning, clustering, classification, and machine learning. Dissimilarities (or, dually, similarities) are among the most studied and most used measures of comparison. However, they suffer from one practical limitation. Indeed, they allow only pairwise comparison of entities, although many applications often require capturing global (dis)similarity degree of more than two entities. Now, it is a fact that the actual (dis)similarity degree of an entity set is seldom expressible in terms of pairwise (dis)similarity degrees of entities in the set. It is then interesting to have tools for globally assigning a (dis)similarity degree to any entity subset. Multiway dissimilarities are such tools. They are a natural generalization of classical (two-way) dissimilarities. In the last decade, they have been investigated or considered from different approaches by many authors among whom we just mention Batbedat [3], Bandelt and Dress [2], Joly and Le Calvé [15], Daws [8], Bennani and Heiser [4], Diatta [10].

As (dis)similarity degrees are necessarily computed on the basis of entity descriptions, it is hopeful that the measure of comparison resulting from these computations agree with these descriptions as well as possible. Clearly, the manner of taking into account entity descriptions will depend on the purposes intended to the measure of comparison. In this paper, we are concerned with multiway dissimilarities on entities whose descriptions are assumed to belong to a complete meet-semilattice. These entity descriptions are then taken into account through a condition called description-meet

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compatibility; that is, a kind of natural agreement between the (multiway) dissimilarity and the entity descriptions, expressing the following fact: the lower the greatest lower bound of the descriptions of entities in a given subset, the more dissimilar entities in this subset. Multiway dissimilarities satisfying this agreement condition are said to be description-meet compatible.

We show that when the entity description set is of breadth  $k$ , strictly description-meet compatible  $k$ -way dissimilarities are quasi-ultrametric. By duality, when the entity descriptions belong to a complete join-semilattice, a similar result holds for so-called strictly description-join compatible multiway dissimilarities. Now, quasi-ultrametric  $k$ -way dissimilarities are known to be in bijection with the so-called indexed  $k$ -quasi-hierarchies [10] also known as indexed closed weak hierarchies of breadth at most  $k$  [2] or  $k$ -weak hierarchical representations [6]. On the other hand, we study relationships between multiway dissimilarities in general, and provide examples of description-meet compatible ones, among which, a generalization of the well-known Ochiai's dissimilarity. The paper is organized as follows.

Section 2 introduces multiway dissimilarities after recalling classical pairwise ones. It is also shown there that a  $2k$ -point implication introduced in [2] is equivalent to the conjunction of a diameter and inclusion conditions characterizing so-called quasi-ultrametric  $k$ -way dissimilarities. Description-meet compatibility is addressed in Section 3 and relationships between multiway dissimilarities are studied in Section 4. Finally, examples of description-meet compatible multiway dissimilarities are provided in Section 5, and a short conclusion closes the paper.

## 2. Multiway dissimilarities

### 2.1. From pairwise to multiway dissimilarities

Before introducing multiway dissimilarities, let us first recall the classical pairwise ones. Let  $E$  be a finite nonempty set.

A (pairwise) dissimilarity on  $E$  is a map  $d : E \times E \rightarrow \mathbb{R}$  satisfying reflexivity ((R2)  $d(x, x) = 0$ ), non-negativity ((N2)  $d(x, y) \geq 0$ ) and symmetry ((S2)  $d(x, y) = d(y, x)$ ).

Considering maps on  $E^3, E^4, \dots, E^k$ , with similar properties, naturally leads to the notion of 3-way, 4-way, ...,  $k$ -way dissimilarity. For instance, a 3-way dissimilarity on  $E$  will be any map  $d : E^3 \rightarrow \mathbb{R}$  satisfying: (R3)  $d(x, x, x) = 0$ , (N3)  $d(x, y, z) \geq 0$  and (S3)  $d(x, y, z) = d(x, z, y) = d(y, x, z) = d(y, z, x) = d(z, x, y) = d(z, y, x)$ . The term *multiway* dissimilarity will be used to mean a  $k$ -way dissimilarity, for some  $k \geq 2$ .

Of course, due to the tuple-based definition above, the complexity of expressions related to  $k$ -way dissimilarities increases when  $k$  grows. Then, for the sake of simplicity, we adopt in the present paper a set-based definition based on the following observation: according to (R2) and (N2),  $d(x, x) \leq d(x, y)$  for all  $x, y$ . Thus, a dissimilarity on  $E$  can be defined as being a nonnegative real valued map  $d$  on the set of singletons and pairs of  $E$ , satisfying  $d(\{x\}) = 0$  and  $d(\{x\}) \leq d(\{x, y\})$ . This set-based definition makes the symmetry condition implicit. Moreover, for  $k \geq 2$ , its generalization to  $k$ -way dissimilarities involves shortest expressions.

For reasons explained in Remark 13 below, we will drop out the reflexivity condition and thus be rather concerned with so-called (multiway) pseudo-dissimilarities. However, we will still use the term dissimilarity, keeping in mind that the condition  $d(\{x\}) = 0$  is not required.

For any set  $S$  and any integer  $k \geq 1$ ,  $S_{\leq k}^*$  will denote the set of all nonempty subsets of  $S$  with at most  $k$  elements. Then, we formally define multiway dissimilarities as follows.

**Definition 1.** A  $k$ -way dissimilarity on  $E$  will be any nonnegative real valued and isotone map defined on the set of all nonempty subsets of  $E$  with at most  $k$  elements, i.e., any map  $d : E_{\leq k}^* \rightarrow \mathbb{R}_+$  such that  $d(X) \leq d(Y)$  when  $X \subseteq Y$ .

**Example 2.** Table 1 presents a dataset about seven market baskets and five items: bread (brd), butter (btr), cheese (chs), eggs (egg), milk (mlk); for instance, the market basket labeled 1 contains bread and cheese. For any  $k$  such that  $2 \leq k \leq 5$ , a  $k$ -way dissimilarity on the set of items, can be defined by letting  $\text{dis}_k(X)$  be seven minus the number of baskets that contain each of the items in  $X$ . Then, for instance,  $\text{dis}_3(\{\text{brd}, \text{chs}\}) = 4$  and  $\text{dis}_3(\{\text{brd}, \text{btr}, \text{chs}\}) = 7$ .

**Remark 3.** For  $\{x, y, z\} \subseteq E$ , we will simply write  $d(x)$  or  $d(x, y)$  or  $d(x, y, z)$  instead of  $d(\{x\})$  or  $d(\{x, y\})$  or  $d(\{x, y, z\})$ , respectively. Moreover, as in the tuple-based setting, the notation  $d(x, y)$  or  $d(x, y, z)$  will not require  $x, y$  and  $z$  be distinct.

Table 1  
Example dataset

	brd	btr	chs	egg	mlk
1	x		x		
2		x	x		x
3	x		x	x	
4		x		x	x
5	x	x			x
6	x	x		x	
7	x		x		x

## 2.2. Max-extension and canonical restriction

From any (pairwise) dissimilarity can be derived various 3-way ones. Among these 3-way dissimilarities is the so-called 3-way max-extension: the 3-way *max-extension* of a (2-way) dissimilarity  $d_2$  is the 3-way dissimilarity  $d_3$  defined by  $d_3(x, y, z) = \max\{d_2(x, y), d_2(x, z), d_2(y, z)\}$ .

**Example 4.** Let  $\text{dis}_{2,3}$  denote the 3-way max-extension of the dissimilarity  $\text{dis}_2$  defined in Example 2. Then

$$\begin{aligned}\text{dis}_{2,3}(\text{brd}, \text{btr}, \text{chs}) &= \max\{\text{dis}_2(\text{brd}, \text{btr}), \text{dis}_2(\text{brd}, \text{chs}), \\ &\quad \text{dis}_2(\text{btr}, \text{chs})\} = \max\{5, 4, 6\} = 6.\end{aligned}$$

This shows that  $\text{dis}_3$  is not the 3-way max-extension of  $\text{dis}_2$  since  $\text{dis}_3(\text{brd}, \text{btr}, \text{chs}) = 7$ .

More generally, for two integers  $k$  and  $l$  such that  $2 \leq k \leq l$ , the  $l$ -way max-extension of a  $k$ -way dissimilarity  $d_k$  is the  $l$ -way dissimilarity  $d_l$  defined by  $d_l(X) = \max_{Y \in X^*_{\leq k}} d_k(Y)$ .

Conversely, from any 3-way dissimilarity can be derived various 2-way ones. Among these 2-way dissimilarities is the so-called 2-way canonical restriction: the 2-way *canonical restriction* of an 3-way dissimilarity  $d_3$  is the 2-way dissimilarity  $d_2$  defined by  $d_2(x, y) = d_3(x, y)$ .

**Example 5.** For two integers  $k$  and  $l$  such that  $2 \leq k \leq l \leq 5$ , let  $\text{dis}_{l,k}$  denote the  $k$ -way canonical restriction of the  $l$ -way dissimilarity  $\text{dis}_l$  defined in Example 2. Then for any such  $k$  and  $l$ ,  $\text{dis}_{l,k} = \text{dis}_k$ .

More generally, for two integers  $k$  and  $l$  such that  $2 \leq k \leq l$ , the  $k$ -way canonical restriction of an  $l$ -way dissimilarity  $d_l$  is the  $k$ -way dissimilarity  $d_k$  defined by  $d_k(X) = d_l(X)$ .

## 2.3. Quasi-ultrametric multiway dissimilarities

Key notions in the definition of quasi-ultrametrics given below are those of a  $d$ -ball,  $(d, k)$ -ball and  $d$ -diameter, where  $d$  is a  $k$ -way dissimilarity. To catch their meaning, let us first cast them in the case of a pairwise dissimilarity, say  $d_2$ .

The  $d_2$ -diameter of a nonempty subset  $Z$  of  $E$  is the maximum  $d_2$ -dissimilarity degree between elements of  $Z$ , i.e.,  $\text{diam}_{d_2}(Z) = \max\{d_2(x, y) : x, y \in Z\}$ .

Let now  $x$  and  $y$  be two distinct elements of  $E$  and let  $r$  be a nonnegative real number. The  $d_2$ -ball of center  $x$  and radius  $r$  is the set  $B^{d_2}(x, r)$  of elements of  $E$  whose  $d_2$ -dissimilarity degree from  $\{x\}$  is at most  $r$ , i.e., formally,  $B^{d_2}(x, r) = \{z \in E : d_2(x, z) \leq r\}$ ; the  $(d_2, 2)$ -ball (or 2-ball) generated by  $x$  is the set  $B_x^{d_2} = B^{d_2}(x, d_2(x))$ , and the  $(d_2, 2)$ -ball generated by  $\{x, y\}$  is the set  $B_{xy}^{d_2} = B^{d_2}(x, d_2(x, y)) \cap B^{d_2}(y, d_2(x, y))$ . If  $x = y$ ,  $B_{xy}^{d_2} = B_x^{d_2}$ .

Fig. 1 illustrates the notions of a ball and a 2-ball, in the case of an Euclidean dissimilarity.

All these notions have been naturally generalized to multiway dissimilarities in [10]. For  $k \geq 2$ , let  $d_k$  denote a  $k$ -way dissimilarity on  $E$ . For any subset  $S$  of  $E$  and any element  $x \in E$ ,  $S + x$  will denote  $S \cup \{x\}$ ; similarly,  $S - x$  will denote  $S \setminus \{x\}$ .

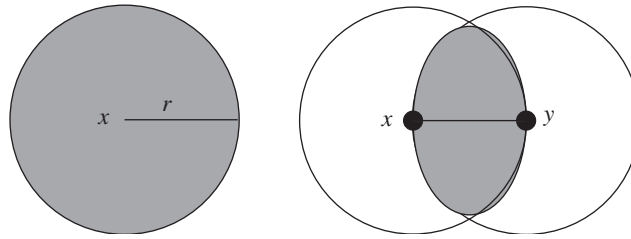


Fig. 1.  $d_2$ -ball of center  $x$  and radius  $r$  and  $(d_2, 2)$ -ball generated by  $\{x, y\}$ .

The  $d_k$ -diameter (or, simply, *diameter*) of a nonempty subset  $Z$  of  $E$  is the maximum  $d_k$ -dissimilarity degree between elements of  $Z$ , i.e.,  $\text{diam}_{d_k}(Z) = \max\{d_k(T) : T \in Z^*_{\leq k}\}$ .

Let  $X \in E^*_{\leq k-1}$ . The  $d_k$ -ball (or, simply, *ball*) of center  $X$  and radius  $r$  is the set  $B^{d_k}(X, r) = \{y \in E : d_k(X + y) \leq r\}$ . If  $X \in E^*_{\leq k}$ , then the  $(d_k, k)$ -ball (or, simply,  $k$ -ball relative to  $d_k$ ) generated by  $X$  will be the set  $B_X^{d_k}$  defined by  $B_X^{d_k} = B^{d_k}(X, d_k(X))$  when  $|X| \leq k-1$ , and  $B_X^{d_k} = \bigcap_{x \in X} B^{d_k}(X - x, d_k(X))$  otherwise. The superscript  $d_k$  may be omitted if there is no risk of confusion.

Note that the notion of  $k$ -balls appears in [6] with a different meaning. Indeed, in [6],  $k$ -balls are relative to 2-way dissimilarities, and the  $k$ -ball generated by a  $k$ -element subset  $A \subseteq E$ , relatively to a 2-way dissimilarity  $d_2$ , is defined by

$$\mathbf{B}_A^{d_2} = \{x \in E : d_2(a, x) \leq \text{diam}_{d_2}(A) \text{ for all } a \in A\}.$$

In fact, the  $k$ -ball  $\mathbf{B}_A^{d_2}$ , as defined in [6], relative to a 2-way dissimilarity  $d_2$  is exactly the  $(d_k, k)$ -ball  $B_A^{d_k}$ , as defined in [10] and in the present paper, where  $d_k$  is the  $k$ -way max-extension of  $d_2$ .

Before defining quasi-ultrametrics, let us recall a well-known particular case of them, namely ultrametrics. A (2-way) dissimilarity  $d_2$  is said to be *ultrametric* if for all  $x, y, z$ :

$$d_2(x, y) \leq \max\{d_2(x, z), d_2(y, z)\}.$$

Next are some characterizations of ultrametric 2-way dissimilarities, which may help in understanding the definition of quasi-ultrametrics given below.

**Proposition 6** (Diatta and Fichet [12]). *For a 2-way dissimilarity  $d_2$  on  $E$ , the following assertions are equivalent:*

- (i)  $d_2$  is ultrametric;
- (ii) for all  $x, y, z$ : the greatest two values among  $d_2(x, y)$ ,  $d_2(x, z)$  and  $d_2(y, z)$  are equal;
- (iii) for all  $x, y$ :  $\text{diam}_{d_2}(B(x, d_2(x, y))) = d_2(x, y)$ ;
- (iv) for all  $x, y, u, v$ :  $u, v \in B(x, d_2(x, y))$  implies  $B(u, d_2(u, v)) \subseteq B(x, d_2(x, y))$ .

Replacing balls by  $k$ -balls in Conditions (iii) and (iv) of Proposition 6 above leads to the so-called diameter condition and inclusion condition which, together, characterize what we call quasi-ultrametric (multiway) dissimilarities [12,10]. Inclusion and diameter conditions were introduced, for 2-way dissimilarities, in [9] where inclusion condition was called “five point condition”.

**Definition 7.** A  $k$ -way dissimilarity  $d_k$  on  $E$  is said to

- (i) satisfy the *inclusion condition* if for all  $X, Y \in E^*_{\leq k}$ ,  $Y \subseteq B_X^{d_k}$  implies  $B_Y^{d_k} \subseteq B_X^{d_k}$ ;
- (ii) satisfy the *diameter condition* if for all  $X \in E^*_{\leq k}$ ,  $\text{diam}_{d_k}(B_X^{d_k}) = d_k(X)$ ;
- (iii) be *quasi-ultrametric* if it satisfies both of the inclusion and the diameter conditions.

**Example 8.** Fig. 2 presents three dissimilarities  $d_1, d'_1$  and  $d''_1$  on the set  $\{i, j, k, l\}$ . It is easily checked that  $d_1$  satisfies the diameter condition; but  $d_1$  does not satisfy the inclusion condition because  $j, k \in B_{jl}^{d_1}$  whereas  $i \in B_{jk}^{d_1}$  and  $i \notin B_{jl}^{d_1}$ .

i	0					i	0					i	0				
j	1	0				j	3	0				j	0	0			
k	1	1	0			k	1	1	0			k	1	1	0		
l	3	2	1	0		l	1	1	2	0		l	1	1	1	0	
	i	j	k	l			i	j	k	l			i	j	k	l	
	$d_1$						$d'_1$						$d''_1$				

Fig. 2. Three pairwise dissimilarities on the set  $\{i, j, k, l\}$ :  $d_1$  satisfies the diameter but not the inclusion condition;  $d'_1$  satisfies the inclusion but not the diameter condition;  $d''_1$  is quasi-ultrametric.

It is also easily checked that  $d'_1$  satisfies the inclusion; but  $d'_1$  does not satisfy the diameter condition because  $i, j \in B_{kl}^{d'_1}$  so that  $\text{diam}_{d'_1}(B_{kl}^{d'_1}) > d'_1(k, l)$ . The dissimilarity  $d''_1$  is clearly quasi-ultrametric since  $B_i^{d''_1} = B_j^{d''_1} = B_{ij}^{d''_1} = \{i, j\}$ , for  $x \neq i, j$ ,  $B_x^{d''_1} = \{x\}$ , and for  $\{x, y\} \neq \{i, j\}$ ,  $B_{xy}^{d''_1} = \{i, j, k, l\}$ .

**Example 9.** The reader may check that the 3-way dissimilarity  $\text{dis}_3$  defined in Example 2 is quasi-ultrametric. This can also be derived from Theorem 18 below (see Remark 19).

Let us also mention that, for 2-way dissimilarities, the diameter and inclusion conditions have been also generalized by Bertrand and Janowitz [6] into so-called  $k$ -inclusion and  $k$ -diameter conditions. According to [6], a 2-way dissimilarity  $d_2$  is said to satisfy the  $k$ -diameter condition if  $\text{diam}_{d_2}(\mathbf{B}_A) = \text{diam}_{d_2}(A)$  for all  $k$ -element subset  $A$ . It is then clear that the  $k$ -diameter condition, as defined in [6], for a 2-way dissimilarity, corresponds to the diameter condition, as defined in the present paper, for its  $k$ -way max-extension. On the other hand, according to [6], a 2-way dissimilarity  $d_2$  is said to satisfy the  $k$ -inclusion condition if for all  $k$ -element subsets  $A_1, A_2$ :  $A_1 \subseteq \mathbf{B}_{A_2}$  implies  $\mathbf{B}_{A_1} \subseteq \mathbf{B}_{A_2}$ . It is then easily observed that the  $k$ -inclusion condition, as defined in Bertrand and Janowitz [6], holds for a 2-way dissimilarity whenever its  $k$ -way max-extension satisfies the inclusion condition, as defined in the present paper. The converse does not hold because the  $k$ -inclusion condition, in the sense of [6], does not ensure that  $A_1 \subseteq \mathbf{B}_{A_2}$  implies  $\mathbf{B}_{A_1} \subseteq \mathbf{B}_{A_2}$  for all  $p$ -subset  $A_1$  of  $E$  and all  $q$ -subset  $A_2$  of  $E$ , with  $1 \leq p, q \leq k$ .

Given a  $k$ -way dissimilarity  $d_k$ , let us now consider the following implication introduced in [2]: for all  $X \in E_{\leq k}^*$ ,  $Y \in E_{\leq k-1}^*$  and  $z \in E$ ,

$$z \in B_X^{d_k} \text{ implies } \text{diam}_{d_k}(X \cup Y + z) \leq \text{diam}_{d_k}(X \cup Y).$$

This implication, which we will refer to as the  $2k$ -point implication, is, in fact, a generalization of a four-point implication introduced earlier by Bandelt [1]

$$\max\{d_2(x_1, z), d_2(x_2, z)\} \leq d_2(x_1, x_2) \text{ implies } d_2(y, z) \leq \max\{d_2(x_1, y), d_2(x_2, y), d_2(x_1, x_2)\},$$

where  $d_2$  is a pair-wise dissimilarity.

It has been proved in [12] that quasi-ultrametric pairwise dissimilarities coincide with those which satisfy the Bandelt's four-point implication. The next proposition generalizes this result to multiway dissimilarities.

**Proposition 10.** For any  $k$ -way dissimilarity  $d$  on  $E$ , the following two conditions are equivalent.

- (i)  $d$  is quasi-ultrametric.
- (ii)  $d$  satisfies the  $2k$ -point implication.

**Proof.** Assume that  $d$  satisfies the  $2k$ -point implication and let  $X, Y \in E_{\leq k}^*$  with  $Y \subseteq B_X^d$ . Then, two cases can be distinguished: either  $Y = \{y\}$  or  $|Y| > 1$ . Assume that  $Y = \{y\}$ . Then, again, two cases can be distinguished: either

$X = \{x\}$  or  $|X| > 1$ . If  $X = \{x\}$ , then  $X$ ,  $X$  and  $y$  satisfy the conditions of the  $2k$ -point implication, so that

$$\text{diam}_d(X \cup Y) = \text{diam}_d(X \cup X + y) \leq \text{diam}_d(X \cup X) = d(X).$$

If  $|X| > 1$ , then for any  $Z \in X_{\leq k-1}$ ,  $X$ ,  $Z$  and  $y$  satisfy the condition of the  $2k$ -point implication, so that

$$\text{diam}_d(X \cup Y) = \text{diam}_d(X \cup Z + y) \leq \text{diam}_d(X \cup Z) = d(X).$$

Assume now that  $|Y| > 1$ . Let  $y_0 \in Y$  and let  $Z_0 = Y - y_0$ . Then  $X$ ,  $Z_0$  and  $y_0$  satisfy the condition of the  $2k$ -point implication, so that

$$\text{diam}_d(X \cup Y) = \text{diam}_d(X \cup Z_0 + y_0) \leq \text{diam}_d(X \cup Z_0).$$

if  $|Z_0| = 1$ , then we have seen that  $\text{diam}_d(X \cup Z_0) \leq d(X)$ , so that  $\text{diam}_d(X \cup Y) \leq d(X)$ . If  $|Z_0| > 1$ , pick  $y_1 \in Z_0$  and let  $Z_1 = Z_0 - y_1$ . Then  $X$ ,  $Z_1$  and  $y_1$  satisfy the condition of the  $2k$ -point implication, so that

$$\text{diam}_d(X \cup Z_0) = \text{diam}_d(X \cup Z_1 + y_1) \leq \text{diam}_d(X \cup Z_1).$$

Repeating this argument, we finally get  $\text{diam}_d(X \cup Y) \leq d(X)$ , which proves that  $d$  satisfies the diameter condition. To prove the inclusion condition, let  $u \in B_Y^d$ . Then, for any  $Z \in X_{\leq k-1}^*$ ,  $Y$ ,  $Z$  and  $u$  satisfy the condition of the  $2k$ -point implication, so that  $\text{diam}_d(Y \cup Z + u) \leq \text{diam}_d(Y \cup Z)$ . On the other hand,  $\text{diam}_d(Y \cup Z) \leq d(X)$  since  $Y \cup Z \subseteq B_X^d$  and  $d$  satisfies the diameter condition. Hence, for any  $Z \in X_{\leq k-1}^*$ ,

$$d(Z + u) \leq \text{diam}_d(Y \cup Z + u) \leq \text{diam}_d(Y \cup Z) \leq d(X).$$

Therefore,  $u \in B_X^d$ , proving the inclusion condition.

Conversely, assume that  $d$  is quasi-ultrametric and let  $X \in E_{\leq k}^*$ ,  $Y \in E_{\leq k-1}^*$ ,  $z \in E$  with  $z \in B_X^d$ . If  $\text{diam}_d(X \cup Y) = d(X)$ , then  $Y \subseteq B_X^d$  so that, by the diameter condition,

$$\text{diam}_d(X \cup Y + z) \leq d(X) \leq \text{diam}_d(X \cup Y).$$

As  $Y \in E_{\leq k-1}^*$ , assume, w.l.g., that  $\text{diam}_d(X \cup Y) = d(Z \cup T)$ , where  $Z \in X_{\leq p}^*$  and  $T \in Y_{\leq k-p}^*$ . Then  $\text{diam}_d(Z \cup T \cup Y) \leq d(Z \cup T)$  and, likewise,  $\text{diam}_d(Z \cup T \cup X) \leq d(Z \cup T)$ . Thus  $Y \subseteq B_{Z \cup T}^d$  and  $X \subseteq B_{Z \cup T}^d$ . Then, by the inclusion condition,  $z \in B_{Z \cup T}^d$  since  $z \in B_X^d$ . Therefore, by the diameter condition,

$$\text{diam}_d(X \cup Y + z) \leq d(Z \cup T) \leq \text{diam}_d(X \cup Y),$$

as required.  $\square$

### 3. Description-meet compatibility

In this section, we place ourselves in a so-called *meet-closed description context*. That is a context consisting of a finite nonempty entity set  $E$  whose elements are described in a complete meet-semilattice  $\mathcal{D}$ , by means of a descriptor  $\delta$ . We will denote such a context as a triple  $\mathbb{K} = (E, \mathcal{D}, \delta)$  where  $E$  stands for the entity set,  $\mathcal{D} := (D, \leq)$  the entity description space, and  $\delta$  the descriptor that associates to each entity  $x \in E$  its description  $\delta(x)$  in  $\mathcal{D}$ .

In all what follows,  $E$  will denote a finite nonempty entity set,  $\mathcal{D}$  a complete meet-semilattice,  $\delta$  a descriptor that maps  $E$  into  $\mathcal{D}$ , and  $\mathbb{K}$  the meet-closed description context  $(E, \mathcal{D}, \delta)$ .

**Example 11.** Consider Table 2 presenting five visitors of a given Web site, described by three attributes: LiLo, NoLi, ReSu, where  $\text{LiLo}(x)$  is the login-logout time interval of visitor  $x$  within the interval  $[0, 24]$ ,  $\text{NoLi}(x)$  is the number of times visitor  $x$  logs in at  $\text{LiLo}(x)$  interval during a given fixed period, and  $\text{ReSu}(x)$  is the subjects requested by  $x$  during a session; requested subjects are sets of subjects from: Arts & Humanities (AH), Business & Economy (BE), Computers & Internet (CI), News & Media (NM), Recreation & Sports (RS), Science & Health (SH), Society & Culture (SC).

Then Table 2 can be seen as representing a meet-closed description context  $\mathbb{K}_2 := (E_2, \mathcal{D}_2, \delta_2)$  where  $E_2$  is the set  $\{1, 2, 3, 4, 5\}$ ,  $\mathcal{D}_2$  the direct product of three partially ordered sets (posets): the set  $(\text{FUCI}([0, 24]), \subseteq)$  of finite unions

Table 2  
Example meet-closed description context

	LiLo	NoLi	ReSu
1	0–2	30	CI,RS
2	21–24	35	AH,NM,SC
3	0–3	40	AH,BE,CI,RS
4	22–24	35	AH,SC
5	12–14	30	BE,NM

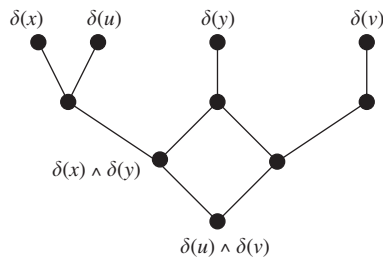


Fig. 3. A part of entity description space.

of closed intervals of  $[0, 24]$  endowed with the set inclusion order, the set  $([30; 40], \leq)$  of integers from 30 to 40, endowed with the integer usual order, and the powerset  $(\mathcal{P}(S), \subseteq)$  of the set  $S = \{AH, BE, CI, NM, RS, SC\}$ , endowed with the set inclusion order, and  $\delta_2(x) = (\text{LiLo}(x), \text{NoLi}(x), \text{ReSu}(x))$ .

The description-meet compatibility defined below has been introduced in [13] in the case of pairwise dissimilarities. It uses the notion of valuation on a poset.

A *valuation* on a poset  $(P, \leq)$  is a map  $h : P \rightarrow \mathbb{R}_+$  such that  $h(x) \leq h(y)$  when  $x \leq y$ . A *strict valuation* will then be a valuation  $h$  such that  $x < y$  implies  $h(x) < h(y)$ .

Before defining the description-meet compatibility, let us introduce further notation. For any  $X \subseteq E$ ,  $\delta(X)$  will denote the set of descriptions of entities belonging to  $X$ . For any positive integer  $k$ , let  $\mathcal{I}_k(E)$  denote the set of meets of descriptions of nonempty subsets of  $E$  with at most  $k$  elements, i.e.,

$$\mathcal{I}_k(E) = \{\delta(x_1) \wedge \cdots \wedge \delta(x_k) : x_1, \dots, x_k \in E\}.$$

It may be noted that  $\mathcal{I}_1(E) = \delta(E)$  and  $\mathcal{I}(E) := \mathcal{I}_{|E|}(E)$  is the meet-semilattice generated by  $\delta(E)$ . A  $k$ -way dissimilarity  $d$  on  $E$  ( $k \geq 2$ ) will be said to be  *$\delta$ -meet compatible* if there exists a valuation  $h$  on  $\mathcal{I}_k(E)$  with which it is  $\delta$ -meet compatible, i.e., such that

$$d(X) \leq d(Y) \iff h(\inf \delta(X)) \geq h(\inf \delta(Y)),$$

for  $X, Y \in E_{\leq k}^*$ . If  $h$  is a strict valuation,  $d$  will be said to be *strictly  $\delta$ -meet compatible*.

**Remark 12.** The reader may observe that when  $\mathcal{D}$  is a complete join-semilattice, a dual compatibility condition, say  $\delta$ -join compatibility, can be defined by reversing the right-hand side inequality in the above equivalence and replacing meets by joins.

Description-meet compatibility is a kind of natural agreement expressing the following fact: the lower the meet of descriptions of entities in  $X$ , the larger the dissimilarity degree of  $X$ .

To fix the ideas, assume that a part of entity description space is that depicted in Fig. 3. Then any  $\delta$ -meet compatible pairwise dissimilarity  $d$  must satisfy the following inequalities:  $d(x, u) \leq d(y, u) = d(x, y) \leq d(u, v) = d(x, v)$ ,  $d(y, v) \leq d(u, v), \dots$



**Remark 13.** If  $d$  is a strictly  $\delta$ -meet compatible (multiway) dissimilarity, then  $\delta(x) < \delta(y)$  implies  $d(y) < d(x)$ . This is why we drop out the condition  $d(x) = 0$ , since it is very likely to happen that two entities  $x$  and  $y$  satisfy  $\delta(x) < \delta(y)$ .

**Example 14.** Consider the meet-closed description context  $\mathbb{K}_2$  defined in Example 11. Define a multiway dissimilarity on  $E_2$  by

$$\text{dis}'(X) = 47 - \left( \lambda \left( \bigcap_{x \in X} \text{LiLo}(x) \right) + \min_{x \in X} \text{NoLi}(x) + \left| \bigcap_{x \in X} \text{ReSu}(x) \right| \right),$$

where  $\lambda([\alpha, \beta]) = \beta - \alpha$ . For instance,  $\text{dis}'(1, 2, 3) = 47 - (\lambda([0, 2] \cap [21, 24] \cap [0, 3]) + \min\{30, 35, 40\} + |\{CI, RS\} \cap \{AH, NM, SC\} \cap \{AH, BE, CI, RS\}|) = 47 - (\lambda(\emptyset) + 30 + |\emptyset|) = 47 - (0 + 30 + 0) = 17$ . Then  $\text{dis}'$  is strictly  $\delta_2$ -meet compatible. Indeed,  $\lambda, x \mapsto x$  and  $Y \mapsto |Y|$  are strict valuations on  $(\text{FUCI}([0, 24]), \subseteq)$ ,  $(|[30; 40]|, \leq)$  and  $(\mathcal{P}, \subseteq)$ , respectively. Thus  $h_2$  defined by

$$h_2(u, v, w) = \lambda(u) + v + |w|$$

is a strict valuation on  $\mathcal{D}_2$ , and the fact that  $\text{dis}'$  is  $\delta_2$ -meet compatible with  $h_2$  follows from the fact that  $\text{dis}'(X)$  is decreasing w.r.t.  $h_2(\inf \delta_2(X))$ .

Two valuations  $h$  and  $h'$  will be said to be *equivalent* if  $h(x) \leq h(y)$  if and only if  $h'(x) \leq h'(y)$ . Similarly, two multiway dissimilarities  $d$  and  $d'$  will be said to be *equivalent* if  $d(X) \leq d(Y)$  if and only if  $d'(X) \leq d'(Y)$ .

It may be noted that when a multiway dissimilarity is  $\delta$ -meet compatible with a given valuation  $h$  it is also  $\delta$ -meet compatible with every valuation equivalent to  $h$ . Likewise, when a valuation is  $\delta$ -meet compatible with a given multiway dissimilarity  $d$  it is also  $\delta$ -meet compatible with every multiway dissimilarity equivalent to  $d$ . Furthermore, two multiway dissimilarities which are respectively  $\delta$ -meet compatible with two equivalent valuations are equally equivalent. A similar result holds for valuations. Moreover, we have the following characterization of the equivalence class of multiway dissimilarities  $\delta$ -meet compatible with a given valuation  $h$ .

**Proposition 15.** A  $k$ -way dissimilarity  $d$  is  $\delta$ -meet compatible with a given valuation  $h$  if and only if there is a positive real number  $M$  such that  $d$  is equivalent to the  $k$ -way dissimilarity  $d_k^{h,M}$  defined by  $d_k^{h,M}(X) = M - h(\inf \delta(X))$ .

**Proof.** Let  $M$  be a positive real such that  $h(\delta(x)) \leq M$  for any  $x \in E$ . By definition,  $d$  is  $\delta$ -meet compatible with  $h$  if and only if for all  $X, Y \in E_{\leq k}^*$ :

$$d(X) \leq d(Y) \iff h(\inf \delta(X)) \geq h(\inf \delta(Y)).$$

Now

$$h(\inf \delta(X)) \geq h(\inf \delta(Y)) \iff d_k^{h,M}(X) \leq d_k^{h,M}(Y).$$

It then becomes clear that  $d$  and  $h$  are  $\delta$ -meet compatible if and only if  $d$  and  $d_k^{h,M}$  are equivalent.  $\square$

The next result is straightforward but instrumental.

**Proposition 16.** Let  $d$  be a  $\delta$ -meet compatible  $k$ -way dissimilarity and let  $h$  be a valuation  $\delta$ -meet compatible with  $d$ . Then

- (i)  $d(X) = d(Y)$  if and only if  $h(\inf \delta(X)) = h(\inf \delta(Y))$ .
- (ii)  $\inf \delta(X) = \inf \delta(Y)$  implies  $d(X) = d(Y)$ .

**Proof.** Assertion (i) straightly derives from  $\delta$ -meet compatibility of  $d$  and  $h$ . Assertion (ii) is then a consequence of the fact that  $\inf \delta(X) = \inf \delta(Y)$  implies  $h(\inf \delta(X)) = h(\inf \delta(Y))$ .  $\square$

Before outlining the relationship between quasi-ultrametricity and description-meet compatibility, let us recall the following technical notion: the *breadth* of a meet-semilattice  $(P, \leq)$  is the least positive integer  $k$  such that the meet of



any  $(k + 1)$  elements of  $P$  is always the meet of  $k$  elements among these  $k + 1$  [7]. Having noticed this, we agree to say that a subset  $Q$  of a meet-semilattice is of breadth  $k$  if  $k$  is the least positive integer such that for any  $(k + 1)$ -element subset  $W$  of  $Q$  there is  $w \in W$  such that  $\inf(W - w) \leq w$ .

**Example 17.** Consider the dataset given in Table 1 as presenting a meet-closed description context  $\mathbb{K}_1 := (E_1, \mathcal{D}_1, \delta_1)$ , where  $E_1$  is the set of five items and  $\mathcal{D}_1$  the boolean lattice  $\{0, 1\}^7$ ; for instance  $\delta_1(\text{brd}) = (1, 0, 1, 0, 1, 1, 1)$ . Then  $\delta_1(E_1)$  is of breadth at least 3 since  $\inf \delta_1(\{\text{brd}, \text{chs}, \text{mlk}\}) = (0, 0, 0, 0, 0, 0, 1)$ , which is different from either of  $\delta_1(\text{brd}) \wedge \delta_1(\text{chs}) = (1, 0, 1, 0, 0, 0, 1)$ ,  $\delta_1(\text{brd}) \wedge \delta_1(\text{mlk}) = (0, 0, 0, 0, 1, 0, 1)$  and  $\delta_1(\text{chs}) \wedge \delta_1(\text{mlk}) = (0, 1, 0, 0, 0, 0, 1)$ . Moreover,  $\inf \delta_1(\{\text{brd}, \text{btr}, \text{chs}, \text{egg}\}) = \inf \delta_1(\{\text{brd}, \text{btr}, \text{chs}, \text{mlk}\}) = \inf \delta_1(\{\text{brd}, \text{btr}, \text{chs}\})$ ,  $\inf \delta_1(\{\text{brd}, \text{btr}, \text{egg}, \text{mlk}\}) = \inf \delta_1(\{\text{brd}, \text{chs}, \text{egg}, \text{mlk}\}) = \inf \delta_1(\{\text{brd}, \text{egg}, \text{mlk}\})$ , and  $\inf \delta_1(\{\text{btr}, \text{chs}, \text{egg}, \text{mlk}\}) = \inf \delta_1(\{\text{btr}, \text{chs}, \text{egg}\})$ , so that  $\delta_1(E_1)$  is of breadth 3.

We now go on proving the result showing the existence of an integer  $k \geq 2$  such that any strictly  $\delta$ -meet compatible  $k$ -way dissimilarity on  $E$  is quasi-ultrametric.

**Theorem 18.** (i) If  $\delta(E)$  is of breadth one, then every strictly  $\delta$ -meet compatible 2-way dissimilarity on  $E$  is ultrametric.  
(ii) If  $\delta(E)$  is of breadth  $k \geq 2$ , then every strictly  $\delta$ -meet compatible  $k$ -way dissimilarity on  $E$  is quasi-ultrametric.

**Proof.** (i) Let  $d_2$  be a strictly  $\delta$ -meet compatible 2-way dissimilarity on  $E$  and let  $x, y, z \in E$ . As  $\delta(E)$  is of breadth one, any two elements  $\delta(u), \delta(v) \in \delta(E)$  are such that either  $\delta(u) \leq \delta(v)$  or  $\delta(v) \leq \delta(u)$ . Assume, w.l.g., that  $\delta(x) \leq \delta(y) \leq \delta(z)$ . Then

$$\delta(x) \wedge \delta(y) = \delta(x) \wedge \delta(z) = \delta(x)$$

so that, according to Proposition 16 (ii),  $d_2(x, y) = d_2(x, z)$ . On the other hand,

$$\delta(x) = \delta(x) \wedge \delta(y) \leq \delta(y) = \delta(y) \wedge \delta(z)$$

so that, by  $\delta$ -meet compatibility of  $d_2$ ,  $d_2(y, z) \leq d_2(x, y)$ . Then the greatest two values among  $d_2(x, y)$ ,  $d_2(x, z)$  and  $d_2(y, z)$  are equal, and the result follows from Proposition 6.

(ii) Let  $d_k$  be a strictly  $\delta$ -meet compatible  $k$ -way dissimilarity on  $E$ . According to Proposition 10,  $d_k$  is quasi-ultrametric if and only if it satisfies the  $2k$ -point implication. To show that  $d_k$  satisfies the  $2k$ -point implication, let  $X \in E_{\leq k}^*$ ,  $Y \in E_{\leq k-1}^*$ , and  $z \in B_X^{d_k}$ . Then we need to prove that  $\text{diam}_{d_k}(X \cup Y + z) \leq \text{diam}_{d_k}(X \cup Y)$ . As  $\delta(E)$  is of breadth  $k$ , we claim that  $\inf \delta(X) \leq \delta(z)$ . Indeed, either  $(X + z) \in E_{\leq k}^*$  or not. Assume that  $(X + z) \in E_{\leq k}^*$ . Then, as  $z \in B_X^{d_k}$ , we have  $d_k(X + z) \leq d_k(X)$  so that, by  $\delta$ -meet compatibility,  $h(\inf \delta(X)) \leq h(\inf \delta(X + z))$  for any strict valuation  $h$   $\delta$ -meet compatible with  $d_k$ . On the other hand,  $h(\inf \delta(X + z)) \leq h(\inf \delta(X))$  since  $\delta(X + z) \leq \delta(X)$ . Then  $h(\inf \delta(X + z)) = h(\inf \delta(X))$ , so that  $\inf \delta(X + z) = \inf \delta(X)$ , since  $h$  is a strict valuation. Therefore,

$$\inf \delta(X) = \inf \delta(X + z) = \inf \delta(X) \wedge \delta(z) \leq \delta(z).$$

Assume now that  $(X + z) \notin E_{\leq k}^*$ . Then  $|X + z| = k + 1$  since  $X \in E_{\leq k}^*$ . Therefore, as  $\delta(E)$  is of breadth  $k$ , we have necessarily: (a)  $\inf \delta(X) \leq \delta(z)$ , or (b) there exists  $x \in X$  such that  $\inf \delta((X - x) + z) \leq \delta(x)$ . It follows from (b) that

$$\begin{aligned} \inf \delta((X - x) + z) &= \inf \delta(X - x) \wedge \inf \delta((X - x) + z) \\ &\leq \inf \delta(X - x) \wedge \inf \delta(x) = \inf \delta(X). \end{aligned}$$

Then, by  $\delta$ -meet compatibility of  $d_k$ ,  $d_k(X) \leq d_k((X - x) + z)$ . Hence  $d_k((X - x) + z) = d_k(X)$  since it is otherwise assumed that  $z \in B_X^{d_k}$ , i.e.,  $d_k((X - x) + z) \leq d_k(X)$ . Thus, by Proposition 16 (i),  $h(\inf \delta((X - x) + z)) = h(\inf \delta(X))$  for any strict valuation  $h$   $\delta$ -meet compatible with  $d_k$ . Hence  $\inf \delta((X - x) + z) = \inf \delta(X)$ , from which it follows that:

$$\inf \delta(X) = \inf \delta((X - x) + z) = \inf \delta(X - x) \wedge \delta(z) \leq \delta(z).$$

Therefore, in all cases,  $\inf \delta(X) \leq \delta(z)$ , as claimed. Then for any  $Z \in (X \cup Y)_{\leq k-1}^*$ , we have  $\inf \delta(X \cup Z) \leq \inf \delta(Z + z)$ . Now, as  $\delta(E)$  is of breadth  $k$ , for any  $Z \in (X \cup Y)_{\leq k-1}^*$ , there is  $T \in (X \cup Z)_{\leq k-1}^*$  such that  $\inf \delta(T) = \inf \delta(X \cup Z)$ ,

so that  $\text{diam}_{d_k}(X \cup Z) = d_k(T)$ . Hence, as  $\inf \delta(T) = \inf \delta(X \cup Z) \leq \inf \delta(Z + z)$ , we have, by  $\delta$ -meet compatibility of  $d_k$ ,  $d_k(Z + z) \leq d_k(T)$ . Then for any  $Z \in (X \cup Y)^*_{\leq k-1}$

$$d_k(Z + z) \leq \text{diam}_{d_k}(X \cup Z) \leq \text{diam}_{d_k}(X \cup Y).$$

Therefore,

$$\text{diam}_{d_k}(X \cup Y + z) \leq \text{diam}_{d_k}(X \cup Y),$$

proving that  $d_k$  satisfies the  $2k$ -point implication.  $\square$

The converse of Theorem 18 does clearly not hold since, for  $k \geq 2$ , every constant (one-valued)  $k$ -way dissimilarity on  $E$  is quasi-ultrametric but never strictly  $\delta$ -meet compatible, regardless to the descriptor  $\delta$ . Indeed, otherwise, we would have, for all  $x, y \in E$ ,  $\delta(x) = \delta(y)$  so that  $\delta(E)$  would be a singleton, hence of breadth one.

**Remark 19.** As claimed in Example 9, it follows from Theorem 18 that the 3-way dissimilarity  $\text{dis}_3$  defined in Example 2 is quasi-ultrametric. Indeed, on the one hand, as observed in Example 17,  $\delta_1(E_1)$  is of breadth 3. On the other hand, for each  $k$  such that  $2 \leq k \leq 5$ ,  $\text{dis}_k$  is strictly  $\delta_1$ -meet compatible with the valuation  $h_1$  defined on  $\mathcal{D}_1$  by letting  $h_1(x)$  be the number of ones occurring in  $x$ .

The entity set  $E$  being finite, there is an integer  $k \geq 1$  such that  $k$  is the breadth of  $\delta(E)$ . Moreover, as any pairwise ultrametric dissimilarity is quasi-ultrametric, we derive the following from Theorem 18.

**Corollary 20.** *There is an integer  $k \geq 2$  such that any strictly  $\delta$ -meet compatible  $k$ -way dissimilarity on  $E$  is quasi-ultrametric.*

Following [10], a  $k$ -way dissimilarity  $d$  will be said to be ultrametric if for all  $X \in E^*_{\leq k}$  and  $x \in E$ :

$$d(X) \leq \max_{Y \in X^*_{\leq k-1}} d(Y + x).$$

It should be noticed that any canonical restriction of a strictly  $\delta$ -meet compatible multiway dissimilarity is also strictly  $\delta$ -meet compatible. Then, when  $\delta(E)$  is of breadth one, for an integer  $p \geq 2$ , the 2-way canonical restriction  $d_2$  of any strictly  $\delta$ -meet compatible  $p$ -way dissimilarity  $d_p$  is ultrametric. Now, by Proposition 33 (Section 4),  $d_p$  is equivalent to the  $p$ -way max-extension of  $d_2$ , which, by Corollary 26 (Section 4), is a  $p$ -way ultrametric dissimilarity. Therefore,  $d_p$  is ultrametric since, for an integer  $q \geq 2$ , any  $q$ -way dissimilarity equivalent to a  $q$ -way ultrametric one is also ultrametric. Finally, when  $\delta(E)$  is of breadth one, Theorem 18 (i) extends to ultrametric multiway dissimilarities:

**Theorem 21.** *If  $\delta(E)$  is of breadth one, then for  $k \geq 2$ , every strictly  $\delta$ -meet compatible  $k$ -way dissimilarity on  $E$  is ultrametric.*

#### 4. Relationships between multiway dissimilarities

In this section, we outline properties that multiway dissimilarities transmit to or inherit from their max-extensions or canonical restrictions. We begin with results from the author's earlier paper.

Let  $p$  and  $q$  be two positive integers such that  $2 \leq p \leq q$ . The following proposition states that  $k$ -balls relative to a  $q$ -way dissimilarity are, respectively, contained in those with the same generators, relative to its  $p$ -way canonical restriction.

**Proposition 22** (Diatta [10]). *Let  $d_q$  be a  $q$ -way dissimilarity on  $E$  and let  $d_p$  be its  $p$ -way canonical restriction. Then for all  $X \in E^*_{\leq p}$ ,  $B_X^{d_q} \subseteq B_X^{d_p}$ .*

The next result states that the set of  $k$ -balls relative to a  $p$ -way dissimilarity contains that relative to its  $q$ -way max-extension.

**Proposition 23** (Diatta [10]). Let  $d_p$  be a  $p$ -way dissimilarity and let  $d_q$  be its  $q$ -way max-extension. Then for all  $X \in E_{\leq q}^*$ ,  $B_X^{d_q} = B_Y^{d_p}$ , where  $Y \in X_{\leq p}^*$  is such that  $d_p(Y) = \text{diam}_{d_p}(X)$ .

As a direct consequence of Proposition 23, we have the following corollary, also observed in [10].

**Corollary 24.** Any  $q$ -way max-extension of a  $p$ -way quasi-ultrametric dissimilarity is quasi-ultrametric.

The last result we recall from [10] states that every  $q$ -way ultrametric dissimilarity is the  $q$ -way max-extension of its  $p$ -way canonical restriction.

**Proposition 25** (Diatta [10]). Every  $q$ -way ultrametric dissimilarity is the  $q$ -way max-extension of its  $p$ -way canonical restriction which, in turn, is ultrametric.

From Propositions 23 and 25, we can derive that for any  $p$ -way ultrametric dissimilarity  $d_p$ , and for all  $X \in E_{\leq p}^*$ :  $B_X^{d_p} = B_{xy}^{d_2}$ , where  $d_2$  is the 2-way canonical restriction of  $d_p$ , and where  $x, y$  are such that  $d_2(x, y) = \text{diam}_{d_2}(X)$ . Moreover, as observed in [10],  $B_X^{d_p} = B(x, d_2(x, y))$ , since, by Proposition 6 (iv), for all  $u, v$ :  $B_{uv}^{d_2} = B(u, d_2(u, v))$  because  $d_2$  is ultrametric. The following is then easily observed.

**Corollary 26.** Any  $q$ -way max-extension of a  $p$ -way ultrametric dissimilarity is ultrametric.

The next result gives further information about relationships between  $k$ -balls relative to a  $q$ -way dissimilarity and those relative to its  $p$ -way canonical restriction: it specifies entity subsets which generate the same  $k$ -balls relatively to both the  $p$ -way and the  $q$ -way dissimilarities.

**Proposition 27.** Let  $d_q$  be a  $q$ -way dissimilarity and let  $d_p$  be its  $p$ -way canonical restriction. Then for all  $X \in E_{\leq p-1}^*$ ,  $B_X^{d_q} = B_X^{d_p}$ .

**Proof.** Let  $X \in E_{\leq p-1}^*$  and let  $x \in E$ . Then  $x \in B_X^{d_q}$  if and only if  $d_q(X+x) \leq d_q(X)$ . Similarly,  $x \in B_X^{d_p}$  if and only if  $d_p(X+x) \leq d_p(X)$ . Now,  $d_p(X+x) = d_q(X+x)$  and  $d_p(X) = d_q(X)$ , since  $d_p$  is the  $p$ -way canonical restriction of  $d_q$ . Therefore,  $B_X^{d_q} = B_X^{d_p}$ , as required.  $\square$

The following result shows that if both of two multiway dissimilarities are  $\delta$ -meet compatible with the same valuation, then one of them is equivalent to the canonical restriction of the other.

**Proposition 28.** If  $d_p$  and  $d_q$  are respectively a  $p$ -way dissimilarity and  $q$ -way dissimilarity both  $\delta$ -meet compatible with the same valuation, then  $d_p$  is equivalent to the  $p$ -way canonical restriction of  $d_q$ .

**Proof.** Let  $h$  be a valuation  $\delta$ -meet compatible with both  $d_p$  and  $d_q$ . Then, by Proposition 15, there is a positive real number  $M$  such that  $d_p$  and  $d_q$  are equivalent to  $d_p^{h,M}$  and  $d_q^{h,M}$ , respectively. Now  $d_p^{h,M}$  is the  $p$ -way canonical restriction of  $d_q^{h,M}$ , proving the required equivalence between  $d_p$  and the  $p$ -way canonical restriction of  $d_q$ .  $\square$

It may be noticed that Proposition 28 remains valid if “the same valuation” is replaced by “two equivalent valuations”.

**Remark 29.** Two equivalent  $k$ -way dissimilarities  $d$  and  $d'$  have the same  $k$ -balls; i.e., for all  $X \in E_{\leq k}^*$ ,  $B_X^d = B_X^{d'}$ . Moreover, for all  $Y \subseteq E$ ,  $\text{diam}_d(Y) = d(Z)$  if and only if  $\text{diam}_{d'}(Y) = d'(Z)$ .

The next result shows that when  $\delta(E)$  is of breadth  $p$ , then a  $k$ -ball relative to a  $p$ -way  $\delta$ -meet compatible dissimilarity, generated by a subset  $X$ , is relative to a  $(|X| + 1)$ -way  $\delta$ -meet compatible dissimilarity if  $|X| < p$ , or to a  $(p + 1)$ -way  $\delta$ -meet compatible one otherwise.

**Proposition 30.** Let  $h$  be a valuation on  $\mathcal{I}(E)$  and, for any integer  $p \geq 2$ , let  $d_p$  be a  $p$ -way dissimilarity on  $E$ ,  $\delta$ -meet compatible with  $h$ . If  $\delta(E)$  is of breadth  $k \geq 2$ , then for all  $X \in E_{\leq k-1}^*$ :  $B_X^{d_{|X|+1}} = B_X^{d_k}$ . Moreover, for all  $q \geq k$  and all  $X \in E_{\leq k}^*$ :  $B_X^{d_q} = B_X^{d_k}$ .

**Proof.** To prove the first assertion, let  $X \in E_{\leq k-1}^*$ . If  $|X| = k-1$ , then  $d_{|X|+1} = d_k$ , so that  $B_X^{d_{|X|+1}} = B_X^{d_k}$ . Assume that  $|X| < k-1$  and let  $d_{k,|X|+1}$  denote the  $(|X|+1)$ -way canonical restriction of  $d_k$ . Then, according to Proposition 28,  $d_{|X|+1}$  is equivalent to  $d_{k,|X|+1}$  since both  $d_{|X|+1}$  and  $d_k$  are  $\delta$ -meet compatible with  $h$ . Now, by Proposition 27,  $B_X^{d_k} = B_X^{d_{k,|X|+1}}$ . Therefore, by Remark 29,  $B_X^{d_k} = B_X^{d_{|X|+1}}$ . To prove the second assertion, let  $X \in E_{\leq k}^*$  and let  $d_{q,k}$  denote the  $k$ -way canonical restriction of  $d_q$ . Observe first that, by Proposition 28,  $d_k$  is equivalent to  $d_{q,k}$ . If  $|X| < k$ , then, by Proposition 27,  $B_X^{d_q} = B_X^{d_{q,k}}$ . On the other hand, by Remark 29,  $B_X^{d_k} = B_X^{d_{q,k}}$ , proving that  $B_X^{d_q} = B_X^{d_k}$ . Assume that  $|X| = k$ . By Proposition 22,  $B_X^{d_q} \subseteq B_X^{d_{q,k}}$ . Moreover, by Remark 29,  $B_X^{d_{q,k}} = B_X^{d_k}$ , so that  $B_X^{d_q} \subseteq B_X^{d_k}$ . The converse inclusion derives from the fact that  $\delta(E)$  is of breadth  $k$ . Indeed, let  $y \in B_X^{d_k}$ , i.e.,  $y \in B_X^{d_{q,k}}$  or, in other words,

$$d_q(Y+y) = d_{q,k}(Y+y) \leq d_{q,k}(X) = d_q(X)$$

for any  $Y \in X_{\leq k-1}^*$ . As  $\delta(E)$  is assumed to be of breadth  $k$ , there is  $Z \in (X+y)_{\leq k}^*$  such that  $\inf \delta(X+y) = \inf \delta(Z)$ , so that, by proposition 16 (ii),  $d_q(X+y) = d_q(Z)$ . Two cases can then be distinguished: either  $Z = X$  or  $Z = Y+y$  for some  $Y \in X_{\leq k-1}^*$ . On the one hand, if  $Z = X$ , then  $d_q(X+y) = d_q(X)$ , so that  $y \in B_X^{d_q}$ . On the other hand, if  $Z = Y+y$  for some  $Y \in X_{\leq k-1}^*$ , then

$$d_q(X+y) = d_q(Y+y) = d_{q,k}(Y+y) \leq d_{q,k}(X) = d_q(X),$$

so that  $y \in B_X^{d_q}$ . Therefore  $B_X^{d_k} \subseteq B_X^{d_q}$ , proving the required equality.  $\square$

As a consequence of Proposition 30, when  $\delta(E)$  is of breadth  $p$ , each  $k$ -ball relative to a  $p$ -way  $\delta$ -meet compatible dissimilarity can be computed in  $O(|E|)$ . The next result shows that the  $k$ -ball generated by a subset  $X$  relatively to a  $(|X|+1)$ -way  $\delta$ -meet compatible dissimilarity is equal to the  $k$ -ball generated by some proper subset of  $X$  relatively to a  $|X|$ -way  $\delta$ -meet compatible dissimilarity.

**Proposition 31.** Let  $h$  be a strict valuation on  $\mathcal{I}(E)$  and, for any  $p \geq 2$ , let  $d_p$  be a  $p$ -way dissimilarity  $\delta$ -meet compatible with  $h$ . Then for all  $X \in E_{\leq p+1}^*$ ,  $B_X^{d_{p+2}} = B_Y^{d_{p+1}}$  for any  $Y \in X_{\leq p}^*$  such that  $d_{p+1}(X) = d_p(Y)$ .

**Proof.** Let  $X \in E_{\leq p+1}^*$  and let  $Y \in X_{\leq p}^*$  such that  $d_{p+1}(X) = d_p(Y)$ . Observe first that, according to Proposition 15, there is a positive real number  $M$  such that  $d_p$  is equivalent to  $d_p^{h,M}$  for each  $p \geq 2$ . Observe also that  $y \in B_X^{d_{p+2}^{h,M}}$  if and only if  $d_{p+2}^{h,M}(X+y) \leq d_{p+2}^{h,M}(X) = d_{p+1}^{h,M}(X)$ . Likewise,  $y \in B_Y^{d_{p+1}^{h,M}}$  if and only if  $d_{p+1}^{h,M}(Y+y) \leq d_{p+1}^{h,M}(Y) = d_p^{h,M}(Y)$ . Moreover,  $d_{p+1}(X) = d_p(Y)$  implies  $d_{p+1}^{h,M}(X) = d_p^{h,M}(Y)$ . Then, by Proposition 16 (ii),  $h(\inf \delta(X)) = h(\inf \delta(Y))$ . Thus  $\inf \delta(X) = \inf \delta(Y)$  since, on the one hand,  $\inf \delta(X) \leq \inf \delta(Y)$ , and, on the other hand,  $h$  is a strict valuation. Then  $\inf \delta(X+y) = \inf \delta(Y+y)$ , so that, again by Proposition 16 (ii),  $d_{p+2}^{h,M}(X+y) = d_{p+1}^{h,M}(Y+y)$ . Therefore,  $y \in B_X^{d_{p+2}^{h,M}}$  if and only if  $y \in B_Y^{d_{p+1}^{h,M}}$ , i.e.,  $B_X^{d_{p+2}^{h,M}} = B_Y^{d_{p+1}^{h,M}}$ . Now, by Proposition 29,  $B_X^{d_{p+2}} = B_X^{d_{p+2}^{h,M}}$  and  $B_Y^{d_{p+1}} = B_Y^{d_{p+1}^{h,M}}$ , proving the required equality.  $\square$

The following proposition shows that max-extensions of two equivalent multiway dissimilarities are equivalent too.

**Proposition 32.** If  $d_k$  and  $d'_k$  are two equivalent  $k$ -way dissimilarities, then for all  $l \geq k$ , the  $l$ -way max-extensions of  $d_k$  and  $d'_k$  are equivalent too.

**Proof.** Let  $d_k$  and  $d'_k$  be two equivalent  $k$ -way dissimilarities, i.e., such that for any  $X, Y \in E_{\leq k}^*$ ,  $d_k(X) \leq d_k(Y)$  if and only if  $d'_k(X) \leq d'_k(Y)$ . Let  $d_l$  and  $d'_l$  be the  $l$ -way max-extensions of  $d_k$  and  $d'_k$ , respectively, and let  $X, Y \in E_{\leq l}^*$ . Assume that  $d_l(X) \leq d_l(Y)$ . Assume also that  $d_l(X) = d_k(X')$  and  $d_l(Y) = d_k(Y')$ , where  $X' \in X_{\leq k}^*$  and  $Y' \in Y_{\leq k}^*$ , with

$$d_k(X') = \max_{Z \in X_{\leq k}^*} d_k(Z) \quad \text{and} \quad d_k(Y') = \max_{Z \in Y_{\leq k}^*} d_k(Z).$$

Then, on the one hand,

$$d'_k(X') = \max_{Z \in X_{\leq k}^*} d'_k(Z) \quad \text{and} \quad d'_k(Y') = \max_{Z \in Y_{\leq k}^*} d'_k(Z).$$

because  $d'_k$  is equivalent to  $d_k$ . Hence  $d'_l(X) = d'_k(X')$  and  $d'_l(Y) = d'_k(Y')$ . On the other hand,  $d'_k(X') \leq d'_k(Y')$ , since  $d_k$  and  $d'_k$  are equivalent and it is assumed that  $d_k(X') = d_l(X) \leq d_l(Y) = d_k(Y')$ . Therefore,  $d'_l(X) \leq d'_l(Y)$ , as required.  $\square$

The next result shows that for two integers  $p$  and  $q$  greater than or equal to the breadth of  $\delta(E)$ , if both of a  $p$ -way dissimilarity and a  $q$ -way one are  $\delta$ -meet compatible with the same valuation, then one of them is equivalent to a max-extension of the other.

**Proposition 33.** *Let  $\delta(E)$  be of breadth  $k \geq 1$  and let  $h$  be a valuation on  $\mathcal{I}(E)$ . For any integer  $l \geq 2$ , let  $d_l$  be an  $l$ -way dissimilarity  $\delta$ -meet compatible with  $h$ . Then for all positive integers  $p$  and  $q$  such that  $\max\{2, k\} \leq p \leq q$ ,  $d_q$  is equivalent to the  $q$ -way max-extension of  $d_p$ .*

**Proof.** Let  $h$  be a valuation  $\delta$ -meet compatible with both  $d_p$  and  $d_q$ . Then, according to Proposition 15, there is a positive real number  $M$  such that  $d_p$  and  $d_q$  are equivalent to  $d_p^{h,M}$  and  $d_q^{h,M}$ , respectively. Let  $X \in E_{\leq q}^*$ . Then, as  $\delta(E)$  is of breadth  $k \leq p$ , there is  $Y \in X_{\leq p}^*$  such that  $\inf \delta(X) = \inf \delta(Y)$ . Then for all  $Z \in X_{\leq p}^*$ ,  $\inf \delta(Y) \leq \inf \delta(Z)$ . Hence  $h(\inf \delta(Y)) = \min_{Z \in X_{\leq p}^*} h(\inf \delta(Z))$ . Therefore,

$$d_p^{h,M}(Y) = M - h(\inf \delta(Y)) = M - \min_{Z \in X_{\leq p}^*} h(\inf \delta(Z)) = \max_{Z \in X_{\leq p}^*} d_p^{h,M}(Z).$$

Now,

$$d_q^{h,M}(X) = M - h(\inf \delta(X)) = M - h(\inf \delta(Y)) = d_p^{h,M}(Y).$$

Then  $d_q^{h,M}$  is the  $q$ -way max-extension of  $d_p^{h,M}$ . Hence, by Proposition 32,  $d_q$  is equivalent to the  $q$ -way max-extension of  $d_p$ , since  $d_p$  is equivalent to  $d_p^{h,M}$ .  $\square$

According to Remark 29, any  $k$ -way dissimilarity equivalent to a quasi-ultrametric  $k$ -way one is also quasi-ultrametric. Then we have the following:

**Proposition 34.** *If  $\delta(E)$  is of breadth  $k \geq 2$ , then for all  $l \geq k$ , every strictly  $\delta$ -meet compatible  $l$ -way dissimilarity is quasi-ultrametric.*

**Proof.** Let  $d_l$  be a  $l$ -way strictly  $\delta$ -meet compatible dissimilarity and let  $h$  be a valuation  $\delta$ -meet compatible with  $d_l$ . Then, by Theorem 18, the  $k$ -way dissimilarity  $d_k^{h,M}$ , where  $M = \max_{x \in E} h(\delta(x))$ , is quasi-ultrametric. On the other hand, by Proposition 33,  $d_l$  is equivalent to the  $l$ -way max-extension of  $d_k^{h,M}$ . Therefore,  $d_l$  is quasi-ultrametric, as any max-extension of a multiway quasi-ultrametric dissimilarity is also quasi-ultrametric [10, Proposition 4].  $\square$

## 5. Examples of description-meet compatible multiway dissimilarities

### 5.1. The canonical description-meet compatible multiway dissimilarity

The canonical description-meet compatible multiway dissimilarity is a strictly description-meet compatible multiway dissimilarity which one can derive from any meet-closed description context. Consider the context  $\mathbb{K} = (E, \mathcal{D}, \delta)$  and let  $h_c$  be the map defined on  $\mathcal{I}(E)$  by

$$h_c(\omega) = |\{\omega' \in \mathcal{I}(E) : \omega' \leq \omega\}|,$$

i.e., the number of elements of  $\mathcal{I}(E)$  which are less than or equal to  $\omega$ . It is then easily observed that  $h_c$  is a strict valuation on  $\mathcal{D}$ . The *canonical*  $\delta$ -meet compatible multiway dissimilarity on  $E$  is the multiway dissimilarity  $d^{h_c, M}$  defined by

$$d^{h_c, M}(X) = M - h_c(\inf \delta(X)),$$

where  $M = \max_{x \in E} h_c(\delta(x))$ .

### 5.2. The Ochiai's multiway dissimilarity

The Ochiai's [16] 2-way dissimilarity is well known for qualitative-attribute/object data. Assume entities in  $E$  be described by a set of  $p$  qualitative attributes, each of these attributes taking its values in a finite set of modalities. For an attribute  $a$ , let  $\text{dom}(a)$  denote the domain of  $a$ . If the modalities of  $\text{dom}(a)$  are listed in a given fixed linear ordering, then each of them may be represented by a boolean  $|\text{dom}(a)|$ -vector of zeros and ones with a single one at its corresponding rank. Then an attribute  $a$  may be regarded as mapping the set  $E$  into the finite lattice  $\{0, 1\}^{|\text{dom}(a)|}$ , so that entities description space is the lattice  $\{0, 1\}^q$ , where  $q = \sum_{a \in A} |\text{dom}(a)|$ . Thus the description of an entity  $x$  is the  $q$ -vector of zeros and ones  $\delta(x) = (x^i)_{i=1, \dots, q}$  where  $x^i = 1$  if and only if the modality at the  $i$ th rank is observed on  $x$ .

Let  $h$  be the map defined on  $\{0, 1\}^q$  by letting  $h(\omega)$  be the number of ones occurring in  $\omega$ . Then  $h$  is a strict index which has the following obvious properties.

- For all  $X \subseteq E$ ,  $h(\inf \delta(X))$  is the number of modalities simultaneously observed on entities belonging to  $X$ .
- For all  $x \in E$ ,  $h(\delta(x)) = p$  since for each attribute, exactly one modality of this attribute is observed on  $x$ .

The Ochiai's multiway dissimilarity is defined by

$$d^O(X) = \left[ 2 \left( 1 - \frac{h(\inf \delta(X))}{\left[ \prod_{x \in X} h(\delta(x)) \right]^{1/|X|}} \right) \right]^{1/2} = \left[ 2 \left( 1 - \frac{h(\inf \delta(X))}{p} \right) \right]^{1/2}$$

The  $\delta$ -meet compatibility of  $d^O$  derives from the fact that  $d^O$  is a decreasing function of the argument  $h(\inf \delta(X))$ .

### 5.3. A practical $\delta$ -meet compatible multiway dissimilarity

Our last example of  $\delta$ -meet compatible multiway dissimilarity is of the type  $d_k^{h, M}$ , where  $h$  is a simply computable valuation. Assume  $\delta$  maps  $E$  into a finite Cartesian product of meet-semilattices  $\mathcal{D}_i$ ,  $1 \leq i \leq p$ , as it is the case when we are concerned with usual attribute/object data. It can then be convenient to consider a valuation  $h_i$  on each  $\mathcal{D}_i$ , and to define  $h$  on  $\prod_{i \in \{1, \dots, p\}} \mathcal{D}_i$  by

$$h(\omega_1, \dots, \omega_p) = \sum_{i \in \{1, \dots, p\}} h_i(\omega_i).$$

It should be noticed that  $h$  is strict if  $h_i$  is strict for each  $i$ . The multiway dissimilarity  $\text{dis}'$  defined in Example 14 is of this kind.

## 6. Conclusion

We presented a class of multiway dissimilarities and studied some of their main properties. Besides the fact that multiway dissimilarities, unlike usual 2-way ones, allow global comparison of more than two entities, those dealt with in this paper agree with entity descriptions in a very natural sense expressed by a condition called  $\delta$ -meet compatibility.

We have shown that there is always an integer  $k$  such that any strictly  $\delta$ -meet compatible  $k$ -way dissimilarity is quasi-ultrametric. Now quasi-ultrametric (multiway) dissimilarities are among those (multiway) dissimilarities which play an important role in mathematical clustering. Indeed, they extend ultrametrics which are well known to be in bijection with indexed hierarchies [14,5]. On the other hand, they are also in bijection with indexed ( $k$ -) quasi-hierarchies [10–12]

also known as indexed closed weak hierarchies of breadth at most  $k$  [2] or  $k$ -weak hierarchical representations [6]. We are then confident that the properties outlined in this paper would help for further contributions in cluster analysis.

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